1 Cross-attractor modeling of resting-state functional connectivity in

2 psychiatric disorders

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4 Yinming Sun¹, Mengsen Zhang², Manish Saggar¹

⁵ ¹Department of Psychiatry and Behavioral Sciences, Stanford University, Stanford, CA 94304

⁶ ²Department of Psychiatry, University of North Carolina at Chapel Hill, Chapel Hill, NC 27514

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8 Abstract

9 Resting-state functional connectivity (RSFC) is altered across various psychiatric disorders.

- 10 Brain network modeling (BNM) has the potential to reveal the neurobiological underpinnings of
- 11 such abnormalities by dynamically modeling the structure-function relationship and examining
- 12 biologically relevant parameters after fitting the models with real data. Although innovative BNM
- 13 approaches have been developed, two main issues need to be further addressed. First,
- 14 previous BNM approaches are primarily limited to simulating noise-driven dynamics near a
- 15 chosen attractor (or a stable brain state). An alternative approach is to examine multi(or cross)-
- 16 attractor dynamics, which can be used to better capture non-stationarity and switching between
- 17 states in the resting brain. Second, previous BNM work is limited to characterizing one disorder
- 18 at a time. Given the large degree of co-morbidity across psychiatric disorders, comparing BNMs
- 19 across disorders might provide a novel avenue to generate insights regarding the dynamical
- 20 features that are common across (vs. specific to) disorders. Here, we address these issues by
- (1) examining the layout of the attractor repertoire over the entire multi-attractor landscape using
 a recently developed cross-attractor BNM approach; and (2) characterizing and comparing
- 23 multiple disorders (schizophrenia, bipolar, and ADHD) with healthy controls using an openly
- 24 available and moderately large multimodal dataset from the UCLA Consortium for
- 25 Neuropsychiatric Phenomics. Both global and local differences were observed across disorders.
- 26 Specifically, the global coupling between regions was significantly decreased in schizophrenia
- 27 patients relative to healthy controls. At the same time, the ratio between local excitation and
- 28 inhibition was significantly higher in the schizophrenia group than the ADHD group. In line with
- these results, the schizophrenia group had the lowest switching costs (energy gaps) across
- 30 groups for several networks including the default mode network. Paired comparison also
- 31 showed that schizophrenia patients had significantly lower energy gaps than healthy controls for
- 32 the somatomotor and visual networks. Overall, this study provides preliminary evidence
- 33 supporting transdiagnostic multi-attractor BNM approaches to better understand psychiatric
- 34 disorders' pathophysiology.
- 35
- 36

49 Introduction

50 Resting-state functional connectivity (RSFC) is observed to be altered across various

51 psychiatric disorders, including schizophrenia, bipolar disorder, and attention deficit

52 hyperactivity disorder (ADHD) (Baker et al., 2019; Friston et al., 2016; Khadka et al., 2013;

53 Konrad and Eickhoff, 2010; McCarthy et al., 2013; Perry et al., 2019; Xia et al., 2018). Likewise,

54 structural connectivity (SC) based on diffusion-weighted imaging (DWI) has also revealed

significant deviations across patient populations (Favre et al., 2019; Friston et al., 2016; Kelly et

al., 2018; Konrad and Eickhoff, 2010; van Ewijk et al., 2012). Here, we argue for using a brain

57 network modeling (BNM) approach that captures structure-function relationships to better

58 characterize disorder-specific findings across modalities. BNM models are nonlinearly

59 dependent on structure, which allows them to capture additional variances in function through

60 the synergistic effect of the structural connectome and biophysical parameters (Breakspear,

61 2017; Deco et al., 2011).

62 A vital benefit of the BNM approach is that it allows for examining differences in modeled

63 physiological parameters (e.g., inhibitory synaptic strength) and generates concrete hypotheses

64 regarding the neurobiological differences associated with psychiatric disorders. Along this line of

65 thinking, previous studies have shown that RSFC can be partially predicted using SC directly or

via modeling approaches (Hagmann et al., 2008; Honey et al., 2009; Kringelbach and Deco,

67 2020; Schirner et al., 2018). In terms of modeling psychiatric disorders, one BNM study with

schizophrenia patients demonstrated how an increase in the regional excitation/inhibition (E/I)
 ratio led to an increase in functional connectivity, especially in the frontal-parietal network (Yang

70 et al., 2016). Another study on autism patients showed that increased recurrent E/I explained

71 abnormalities in both somatosensory regions and association cortices (Park et al., 2021).

Finally, a study on ADHD patients found abnormalities in a model parameter linked to elevated

73 regional oscillations and identified two subgroups of patients differing in personality traits

74 (Iravani et al., 2021).

75 While previous modeling studies have advanced our understanding of psychiatric disorders,

- 76 several key issues can be better addressed. First, previous applications of BNM in clinical
- populations were primarily limited to simulating noise-driven (or stochastic) dynamics near a
- 78 chosen attractor (or a stable brain state (Gustavo Deco et al., 2013; Demirtaş et al., 2019); see

79 (Cabral et al., 2017) for more details). As an alternative approach, multi(or cross)-attractor

- 80 examinations can be used to better capture non-stationarity and switching between states in the
- 81 resting brain (Deco and Jirsa, 2012; Freyer et al., 2012; Hansen et al., 2015; Zhang et al., 2023,
- 82 2022). Second, previous BNM work was primarily limited to characterizing one disorder at a

time. Given the large degree of co-morbidity across psychiatric disorders and the recent push in

84 the field toward examining biological features across disorders, comparing BNMs across

85 multiple disorders might provide a novel avenue to generate insights regarding the common

86 dynamical features across disorders vs. specific to each disorder.

87 Here, we address both of these issues by (1) examining the layout of the attractor repertoire 88 over the entire multi-attractor landscape using our recently developed cross-attractor BNM 89 approach (Zhang et al., 2022); and (2) characterizing and comparing multiple disorders

90 (schizophrenia, bipolar, and ADHD) with healthy controls using an openly available and

91 moderately large multimodal dataset (LA5c) from the UCLA Consortium for Neuropsychiatric

92 Phenomics (Poldrack et al., 2016).

93 Using data from undiagnosed adults from the Human Connectome Project (HCP), we have 94 recently shown that real data derived RSFC can be accurately explained by the set of possible 95 transitions between all attractors, termed cross-attractor coordination matrix (Zhang et al., 96 2022). In contrast to single-attractor based approaches for modeling the RSFC (G. Deco et al., 97 2013; Demirtas et al., 2019; Ghosh et al., 2008), our modeling approach quantifies how well 98 brain regions co-fluctuate across all possible attractor states. This deterministic approach 99 provides a summarizing metric of the attractors landscape and has been shown to be especially 100 effective at explaining functional connections across hemispheres seen in the real data (Zhang 101 et al., 2022).

102 Moreover, we also defined the concept of the "energy gap" between attractor states for

103 characterizing the potential costs of state switching. Here, to capture individual differences in

104 neurobiology across disorders, we varied model parameters for local excitation and inhibition

and the global coupling between brain regions. The optimal individual combination of the

106 parameters was determined based on how well the cross-attractor coordination matrix fits with

107 the experimentally measured RSFC. Based on the optimal model configuration, we calculated

108 the associated global energy gap measures for each subject following our previous study

(Zhang et al., 2022). Since the abnormalities may localize to specific brain regions or functions
(Baker et al., 2019; Ishida et al., 2023; Kebets et al., 2019), we also examined energy gap

111 metrics averaged across regions of canonical resting-state networks (Yeo et al., 2011). The

112 distribution of each model parameter and the global and network-specific energy gap measures

113 were compared across the groups to identify disorder-specific abnormalities.

114 We expect the model fitness to be similar across participant groups. Based on previous findings 115 of inhibitory neuron deficits, we hypothesize that parameters for local inhibition would be

affected for schizophrenia and bipolar patients (Benes and Berretta, 2001; Lewis et al., 2012).

117 We also expect higher values for energy gap measures in schizophrenia patients since they are

associated with cognitive deficits and more severe psychopathology, which may result in more

119 difficult transitions between attractor states. Regarding network-specific energy gap effects, we

120 hypothesize the default mode network to show significant abnormalities given the large amount

121 of evidence for its role in various psychiatric disorders (Baker et al., 2019; Bluhm et al., 2007;

122 Whitfield-Gabrieli and Ford, 2012). Since ADHD has been associated with deficits in attention

networks (McCarthy et al., 2013), we expect more significant abnormalities for energy gap

124 metrics in the dorsal and ventral attention networks.

Overall, we aim to better characterize the commonality and differences between psychiatric
disorders using a multi(cross)-attractor BNM model and a transdiagnostic dataset.

128 Methods

129 Participants

130 The LA5c dataset was available through the OpenNeuro website, with further details presented

131 elsewhere (Poldrack et al., 2016). In brief, adults ages 21 to 50 years were recruited from the

132 Los Angeles area as part of the Consortium for Neuropsychiatric Phenomics. All participants

133 gave informed consent and were either healthy (HLTY) or had a clinical diagnosis of

134 schizophrenia (SCHZ), bipolar disorder (BPLR), or attention deficit hyperactivity disorder

135 (ADHD). The downloaded dataset included 130 HLTY, 50 SCHZ, 49 BPLR, and 43 ADHD.

136 Neuroimaging data description and analysis

137 The MRI data were collected using two 3T Siemens Trio scanners and included a 1 mm T1

scan with MPRAGE sequence, a 2 mm 64-direction DWI scan with one shell (b = 1000 s/mm²),

and a 4 mm echo planar imaging (EPI) resting state fMRI (rsfMRI) scan with 2 s TRs and lasting

- 140 304 seconds.
- 141

142 rsfMRI was preprocessed using the automated workflow from fMRIprep, which is elaborated 143 elsewhere (Esteban et al., 2019). The output fMRI in standard 'MNI152NLin6Asym' space was 144 further processed by removing (censuring) volumes with a framewise displacement of 0.5 mm 145 or higher, and regressing out six motion parameters (three translational and three rotational) 146 from the remaining good frames. Any subject with less than 80% good frames was excluded 147 from further analysis. To generate the RSFC, we used the Desikan-Killiany (DK) atlas (Gustavo 148 Deco et al., 2013; Zhang et al., 2022) with 66 parcels (excluding left and right insula). The 149 parcellation matches our previous study on HCP subjects and has been shown to be a good 150 compromise between biological realism and computation runtime (Gustavo Deco et al., 2013; 151 Zhang et al., 2022). For easier comparison of connectivity between corresponding regions of the 152 two hemispheres, the sorting order of the parcels in the two hemispheres was flipped (sorting 153 order shown in Supplemental Fig. 10b). This sorting order often results in a top right to bottom 154 left diagonal in the connectivity matrix due to strong connectivity between matching regions of 155 the two hemispheres (Gustavo Deco et al., 2013; Zhang et al., 2022). Whole brain rsfMRI time 156 series were first averaged for voxels part of each parcel defined based on the subject DK atlas 157 in standard 'MNI152NLin6Asym' space created via Freesurfer as part of the fMRIprep workflow. 158 The parcel level time series were then correlated between each pair of parcels to generate the 159 RSFC matrix. 160 161 For the analysis of diffusion scans, MRtrix3 was used for preprocessing, computing fixel-based 162 values, and generating the probabilistic streamlines (Tournier et al., 2019). Preprocessing

Values, and generating the probabilistic streamlines (Tournier et al., 2019). Preprocessing

included removing random noise and ringing artifacts, removing distortion caused by eddy
 currents using the eddy functionality from FSL (Andersson et al., 2003), and applying bias field

165 correction using the N4 algorithm of ANTs (Gustavo Deco et al., 2003), and applying bias field

166 Subsequently, tissue response functions representing single-fiber white matter, gray matter, and

167 cerebral spinal fluid (CSF) were computed and used for estimating the fiber orientation

168 distribution (FOD) based on the multi-tissue constrained spherical deconvolution approach 169 (Tournier et al., 2007). To generate an anatomically constrained tractography (ACT) (Gustavo 170 Deco et al., 2013), the structural T1 was co-registered to the DWI scan and was used to 171 generate tissue segmentation of cortical gray matter, subcortical gray matter, white matter, CSF, 172 and pathological tissue. Using the resulting tissue segmentations, 10 million probabilistic 173 streamlines were generated with the MRtrix3 default iFOD2 algorithm by seeding at the gray 174 and white matter interface. To remove biases in the whole-brain tract generation process, the 175 number of streamlines was down-sampled to 1 million based on the SIFT algorithm (Smith et 176 al., 2013). The co-registered subject-specific DK atlas was used to generate the SC by counting 177 the number of streamlines between each parcel pair and normalizing by the average of the two 178 parcel volumes. The resulting raw SC was further processed by setting the diagonal elements to 179 zero and then normalizing by the value of the total connectivity from the parcel with the highest 180 total connectivity (i.e., infinity normalized, Equation 4). For quality control based on outlier 181 detection, the similarity between the SC of all subjects was quantified with Spearman's 182 correlation between the lower diagonal entries of the symmetrical matrix. Any subject with a 183 similarity score of less than three standard deviations from the group mean was excluded from 184 further analyses.

185

186 After excluding subjects with poor fMRI and outlier DWI connectivity, there were 104 HLTY, 29

187 SCHZ, 41 BPLR, and 31 ADHD participants. The demographics of the participants and their

average framewise displacement (FD), are shown in Table 1. Significant group differences were

observed for age, site (scanner), and average FD. Post-hoc, no significant paired comparison difference was observed for the overall age effect (ANOVA F = 3.04, p = 0.03). For the overall

190 difference was observed for the overall age effect (ANOVA F = 3.04, p = 0.03). For the overall 191 site effect (Pearson's χ^2 = 22.7, p < 0.001), the HLTY group had a larger percentage of

192 participants from site 1 and a lower percentage from site 2 than expected (|standardized

residuals = 4.7). For the effect of average FD (ANOVA F = 5.21, p = 0.002), the HLTY group

had a significantly lower value than both the SCHZ group (p = 0.008) and the BPLR group (p =

- 195 0.028).
- 196
- 197 **Table 1:** Participant demographics.

Group	Ν	Age*	Sex (Male/Female)	Site* (1/2)	Average Framewise Displacement (FD) *
Healthy (HLTY)	104	30.8 <u>+</u> 8.4	53/51	83/21	0.12±0.05
Schizophrenia (SCHZ)	29	34.7 <u>+</u> 9.5	22/7	15/14	0.16±0.05
Bipolar Disorder (BPLR)	41	35.0 <u>+</u> 8.4	22/19	21/20	0.15±0.07
Attention Deficit Hyperactivity Disorder (ADHD)	31	32.3 <u>+</u> 8.4	18/13	13/18	0.13±0.06

198

* Significant group difference based on omnibus test

200 Overview of the brain network model

The implementation details of our BNM model tailored for generating cross-attractor-based RSFC (Figure 1) have been presented elsewhere (Zhang et al., 2022). Briefly, the model has parameters that can be linked with biological quantities (i.e., an ensemble of leaky integrateand-fire neurons receiving uncorrelated noisy inputs) as in the Wong-Wang model (Wong and Wang, 2006) and is modified such that the transfer function from input current to firing rate is similar to the Wilson-Cowen model (Wilson and Cowan, 1972), which captures a more diverse range of local dynamics.

Equations 1 and 2 describe how the synaptic activity or fraction of open synaptic channels of excitatory $(S_E^{(i)})$ and inhibitory $(S_I^{(i)})$ populations evolve in brain region *i*. For each region *i*, $w_{ab}^{(i)}$ represent the local coupling from population *a* to *b*, H_n is the sigmoidal transfer function for

The represent the local coupling norm population
$$u$$
 to b , n_p is the significant value of the interval $\tau^{(i)}$

population p, $I_{G}^{(i)}$ represent the global external input to the region i and $\xi_{p}^{(i)}(t)$ is the intrinsic noise for population p. The decay time constants (τ), kinetic parameters (γ), are fixed for eac

noise for population p. The decay time constants (τ), kinetic parameters (γ), are fixed for each population across regions, while the noise scaling constant (σ) is constant across both

214 populations (see Table 2).

215
$$\frac{dS_E^{(i)}}{dt} = -\frac{S_E^{(i)}}{\tau_E} + (1 - S_E^{(i)})\gamma_E H_E(w_{EE}^{(i)}S_E^{(i)} - w_{IE}^{(i)}S_I^{(i)} + I_G^{(i)}(\overrightarrow{S_E})) + \sigma\xi_E^{(i)}(t)$$
(1)

216
$$\frac{dS_{I}^{(i)}}{dt} = -\frac{S_{I}^{(i)}}{\tau_{I}} + (1 - S_{I}^{(i)})\gamma_{I}H_{I}(w_{EI}^{(i)}S_{E}^{(i)} - w_{II}^{(i)}S_{I}^{(i)} + I_{I}) + \sigma\xi_{I}^{(i)}(t)$$
(2)

Equation 3 describes how the global input current $(I_G^{(i)})$ to the excitatory population of each region *i* is affected by the activity of all other regions *j*, which is scaled by the structural connectivity (C_{ij}) and global coupling variable *G*. To generate C_{ij} , diagonal elements of the raw SC matrix were first set to 0. Then, the matrix elements were normalized by the maximum of the column sums (Equation 4).

222
$$I_G^{(i)}(\vec{S_E}) = G \sum_{j \neq i}^N C_{ij} S_E^{(j)}$$
 (3)

223
$$\|C\|_{\infty} = max_i(\sum_{j=1}^{N} |C_{ij}|) \equiv 1$$
 (4)

Equation 5 describes the sigmoidal transfer function that converts input current *x* for a neuronal population (*p*) into output firing rate $H_p(x)$, with constants *a*, *b*, *d*, and r_{max} that defines the shape of the sigmoidal curve.

227
$$H_p(x) = \frac{r_{max} + \frac{a_{px} - b_p - r_{max}}{1 - e^{d_p(a_{px} - b_p - r_{max})}}}{1 - e^{-d_p(a_{px} - b_p)}}$$
(5)

228

Table 2: Fixed model parameter values. For details on the choice of values, please refer to
 (Zhang et al., 2022) and previous literature (Gustavo Deco et al., 2013; Wong and Wang, 2006).

Parameter	Value	Interpretation
$ au_E$	0.1 (s)	Decay time of NMDA receptor
$ au_I$	0.01 (s)	Decay time of GABA receptor
γ_E	0.641	Kinetic parameter of excitatory population
γ_I	1	Kinetic parameter of inhibitory population
σ	0.01	Amplitude of intrinsic noise
a_E	310 (nC ⁻¹)	Slope of the near-linear segment of sigmoidal
		curve (excitatory population)
b_E	125 Hz	Middle of near-linear segment of sigmoidal
		curve (excitatory population)
d_E	0.16 (s)	Smoothness of the corner bends of sigmoidal
		curve (excitatory population)
a_I	615 (nC⁻¹)	Slope of the near-linear segment of sigmoidal
		curve (inhibitory population)
b_I	177 (Hz)	Middle of near-linear segment of sigmoidal
		curve (inhibitory population)
d_I	0.087 (s)	Smoothness of the corner bends of sigmoidal
		curve (inhibitory population)
r _{max}	500 (Hz)	Maximal firing rate
W _{II}	0.05 (nA)	Inhibitory-to-inhibitory coupling
I_I	0.1 (nA)	Global input current to inhibitory population

231

232 Computing attractor states

233 Due to the limited quality of the diffusion scans and previous studies showing the effectiveness 234 of using a group average SC for the BNM modeling (Iravani et al., 2021; Zhang et al., 2022), we 235 decided to use the group average SC for this study. Using a group-specific SC instead of one 236 for all groups allowed for preserving group variations in SC in the subsequent analysis. The DK 237 parcellation was chosen for comparison with previous works (Gustavo Deco et al., 2013; 238 Kringelbach and Deco, 2020; Zhang et al., 2022) and its optimal trade-off in terms of regional 239 homogeneity and computational efficiency. To encompass the possible optimal fits across 240 groups and individuals within each group, a sizeable regular grid of 88 local configurations of 241 (w_{EE}, w_{EI}), spanning from 0.5 to 4 for w_{EE} with a step size of 0.5 (8 combinations) and spanning 242 from 0.5 to 3 with a step size of 0.25 for w_{EI} (11 combinations), were each used for computing 243 cross-attractor coordination. Further, the global coupling parameter G was varied from 0 to 5 244 with 0.1 increments. Similar to the bounds set for w_{EE} and w_{EI}, the *G* range was set to capture 245 an extensive range of values for fitting individual differences in RSFC. In contrast, the increment 246 size was set to minimize the number of run configurations but still be able to track the rate of 247 change in the dynamic landscape. For each specific configuration of global coupling (G), 248 connectivity matrix (C), local excitation (w_{EE}) , and local inhibition (w_{EI}) , the set of fixed points was 249 determined by recursively searching for steady-state solutions or zeroes of equations 1 and 2 250 from an initial set of guesses and then making new guesses based on the solutions found. The 251 recursive process was repeated until a preset number of zeroes were found, or a specific

252 recursion depth limit was reached. Further details of the algorithm are presented in our previous 253 work (Zhang et al., 2022). To determine the set of stable fixed points or attractors from all the 254 fixed points, we first classified the fixed points based on the Jacobian of the solution. We then 255 performed an additional perturbation test around each fixed point for verification. For this 256 verification step, the integration step was set at 0.001 second. Numeric integration is not used 257 for any other part of our analysis. Numeric integration is not used for any other part of our 258 analysis. Figures 1b and 1c show example bifurcation diagrams corresponding to the different 259 local configurations, with all the attractors labeled by type: stable nodes, stable spirals, and limit 260 cycles. The remaining fixed points, labeled as others, are unstable and not attractors. Since the 261 group average SC was used in this study, 88 bifurcation diagrams (one for each local 262 configuration), portraying all possible attractor states, were generated for each group.





version of one bifurcation plot to illustrate what the maximum and mean energy gap metrics (E-max, E-mean)
 represent.



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Figure 2. Cross-attractor coordination matrix and its potential relation to functional connectivity. Crossattractor coordination matrix for a specific configuration of global coupling (G), connectivity matrix (C), local excitation (w_{EE}) , and local inhibition (w_{EI}) is computed from the list of M attractors and N regions (i.e., M by N matrix $A(G, C, w_{EE}, w_{EI}))$ by first discretizing the attractor values through binning of the distribution of S_E across all attractors and brain regions, resulting in a discretized M by N matrix $\hat{A}(G, C, w_{EE}, w_{EI})$, and then computing the Spearman's correlation between the columns of \hat{A} , which are the attractor state values for each brain region, to produce a N by N cross-attractor coordination matrix $P(G, C, w_{EE}, w_{EI})$. Further explanations of each step are provided in the text. The general matrix form of the three matrices A, \hat{A} , and P are shown in subpanels a), d), and f) respectively. $S_{E_i}^{(i)}$ refers to the S_E value for region *i* and attractor *j*; $\hat{S}_{E,i}^{(i)}$ refers to the discretized \hat{S}_E value for region *i* and attractor *j*; $\rho(\hat{A}_{ci}, \hat{A}_{cj})$ refers to the Spearman correlation between columns i and j of Â. A real data example of the three matrices are shown in subpanels b), e), and g) respectively. Subpanel c) illustrates the discretization process for an exemplar data. Subpanel h) shows a simplified toy example (4 attractors, 3 regions, and 2 discretized states) illustrating how \hat{A} is used to calculate P. With the toy example, subpanels j) and k) illustrate how the cross-attractor matrix is conceptually related to the traditional definition of functional connectivity (i.e., the correlation between the neural time series of brain regions). Specifically, assuming the brain cycles through the attractor states with an equal probability of traversing each attractor, then as time increases, the functional connectivity k) becomes the cross-attractor coordination. For simplicity of illustration, the example time series was deliberately chosen with an equal occurrence of each attractor (i.e., 2 times), which would be true of any sequence satisfying the assumptions as the time approaches infinity.

270

271 Computing cross-attractor coordination

- 272 Computation of the cross-attractor coordination is identical to that of our previous work (Zhang
- et al., 2022), described in Figure 2 and summarized as follows. For each specific configuration,
- an attractors-by-regions matrix, or an attractor repertoire $A(G, C, w_{EE}, w_{EI})$, was assembled by
- combining the values of each attractor *j*, $[S_{E,j}^{(1)}, S_{E,j}^{(2)}, \dots, S_{E,j}^{(N)}]^T$ as a column vector, where the
- element $S_{E,j}^{(i)}$ is the value of region *i* for attractor *j* (Figure 2a). The regional $S_{E,j}^{(i)}$ values across all
- 277 matrix elements of *A* were then used to generate a distribution, from which bins were defined
- with the local minima and two edges as boundaries (see Figure 2c). A discretized attractor
- repertoire \hat{A} was created by assigning the original matrix values with the bin number they were
- 280 part of. The discretized attractor values for each region (Figure 2d) were subsequently
- correlated with each other, resulting in a symmetrical regions-by-regions matrix *P*, termed the cross-attractor coordination matrix (Figure 2f). An intuitive understanding of the cross-attractor
- 283 coordination matrix is that it quantifies the level of coordinated activity between regions across
- all the attractors. A higher matrix value between two regions means they are more coordinated
- in their activity changes when switching between attractor states.
- 286 The cross-attractor coordination matrix can be correlated with the real RSFC because
- 287 functionally connected regions are expected to have co-fluctuating attractor states (i.e., similar
- values for the same attractor). Moreover, the cross-attractor coordination matrix may be related
- to the classical definition of functional connectivity (i.e., the correlation between the neural time
- 290 series of brain regions) if the attractor states can be seen as states that the brain traverses
- through during the resting state. In fact, if the probability of traversing each attractor is the same,
- then as time increases, the functional connectivity would approach the cross-attractor
- 293 coordination matrix (see Figure 2 j,k). It is important to note that these attractor states are not
- the same as dynamical functional network states, as shown in previous literature (Zhang et al.,2023).

296 Individual RSFC fitting with cross-attractor coordination

- The optimal configuration in terms of $\langle G, w_{EE} \rangle$, and $w_{EI} \rangle$ for each participant, was determined by comparing the Spearman's correlation between the real RSFC and the cross-attractor
- coordination matrix associated with each configuration (Zhang et al., 2022) (Figure 1a). This
- approach to evaluating fitness is commonly used in the literature (G. Deco et al., 2013; Demirtaş
- 301 et al., 2019; Park et al., 2021; Wang et al., 2019). Since 88 different local configurations (8 w_{EE}
- 302 x 11 w_{EI}) were modeled with a *G* spanning between 1 and 5 and a step size of 0.1 (51 303 possibilities), the best fitting cross-attractor coordination matrix (i.e., highest Spearman's
- 304 correlation) was found by comparing 4488 model combinations. To prevent the fitting of RSFC
- 305 to a cross-attractor coordination matrix associated with unrealistic attractor repertories with large
- 306 gaps between separate sub-repertoires, the maximum energy gap allowed for fitting was set to
- be no greater than 0.2 based on evidence from our previous work (Zhang et al., 2022).
- 308 Specifically, the max energy gap for healthy subjects fitted to the model without an energy
- 309 constraint was mainly less than 0.2, and the loss in model fitness with the constraint only
- 310 becomes evident above that threshold.

311 Energy gap calculations

312 Energy gap measures were calculated at the optimal (G, w_{EE} , and w_{EI}) for each subject. Based 313 on our previous study (Zhang et al., 2022), the energy gap was defined by calculating the 314 average S_E across all regions for each attractor and then taking the difference between adjacent 315 attractors after sorting by the global average S_E values. While other ways of describing the multi-316 attractor landscape are possible, this simple summary metric is an intuitive way of relating the 317 attractors based on the difference in the fraction of open post-synaptic ion channels (i.e., $S_{\rm F}$). A 318 larger energy gap means that more energy is required to open the additional ion channels in the 319 higher attractor state relative to the lower attractor state. The maximum and mean energy gap 320 (E-max, E-mean) were then calculated from the distribution of energy gaps for each subject 321 (Figure 1c). Since these measures were based on the global average S_E, we referred to them as 322 global energy gap measures.

323 Additionally, we also examined network-specific energy gaps. Network-specific energy gaps

between adjacent attractors were defined as the difference between the S_E averaged across

brain regions that are part of specific canonical networks (Yeo et al., 2011). Each parcellated

region of the DK atlas was assigned to a particular network based on which network had the

most vertices in the region and included more than 25% of the vertices (Supplemental Fig. 10). The attractors were sorted based on the global S_E since attractor states themselves were

329 defined based on the global average.

330 Group comparison of individual values

The optimal *G*, the fitness correlation (Spearman's ρ), w_{EE} , w_{EI} , w_{EI}/w_{EI} ratio, E-max, and E-

332 mean were compared across the clinical groups with the Kruskal-Wallis test after regressing out

covariates for age, sex, site (scanner), and average FD. Post-hoc rank-sum tests with Tukey
 correction were used to determine significant paired differences if there was an overall group

effect. Non-parametric rank-based tests were used since the distributions of the variables were

336 not normal, and the tests are more robust to uneven distributions of individual values.

337 Results

338 Description of attractor landscapes

The bifurcation diagram of S_E with changing values of *G* agrees with previous studies (Gustavo Deco et al., 2013; Zhang et al., 2022). Key characteristics include an initial jump from a single stable state to multiple stable states or multistability, and a second bifurcation that splits the set of attractors to a lower and higher arm (Figure 1). The arms then gradually collapse toward two extreme states with further increases in *G*.

344

Bifurcation diagrams for the healthy group are shown in Supplemental Fig. 15 to 22. To better illustrate how the landscape changes in attractor type and numbers, Supplemental Fig. 23 to 30 show the total number of attractors and the number of attractors of each type for the healthy

- 348 group. As w_{EE} increases, the gap between the first and second major bifurcation value of G is
- 349 widened. The attractors also span a wider range of S_E values for higher *G* values. As w_{EI}
- increases, the entire bifurcation diagram shifts toward a higher *G*, including the *G* value at the
- 351 first and second major bifurcation. The total number of possible attractors across all *G* increases
- 352 while the number of limit cycle solutions decreases and occurs at higher *G* values. The same
- 353 general trends hold for the bifurcation plots of all patient groups.

354 Individual model fitting is similar across groups

- 355 Figure 3a shows the distribution of correlation (Spearman's p) between the cross-attractor 356 coordination matrix and real RSFC for each participant group. The individual fitting of model 357 parameters resulted in very similar correlation values for each of the 4 groups, which were 0.44 358 (0.09) for HLTY, 0.48 (0.08) for SCHZ, 0.47 (0.12) for BPLR, and 0.42 (0.09) for ADHD. Overall, 359 model fitting was at par with previous studies, which had fitness correlation values between 0.4 360 and 0.5 (Gustavo Deco et al., 2013; Iravani et al., 2021; Park et al., 2021; Wang et al., 2019). 361 After regressing out the effect of age, sex, site (scanner), and average FD, there was no 362 significant group effect for the fitness correlation based on a 1-way Kruskal-Wallis test (χ^2 = 363 3.29, p = 0.4), suggesting that individual fitness was similar across both healthy controls and 364 patients.
- 365 Example fitting results for selected participants are shown in Supplemental Fig. 1 to 4. Stability
- of fitness values (Spearman's rho) was observed around the maximum, suggesting reliable
- 367 fitting. The distribution of all model parameters for each group is shown in Supplemental Fig. 5
- to 8. The correlation with RSFC was higher for cross-attractor coordination than for group SC for
- nearly all individuals (see Supplemental Fig. 9). As expected, the cross-attractor coordination
- 370 matrix of individuals is correlated to the group SC, which was used as part of the BNM model.

371 Global coupling is decreased in schizophrenia

372 The distribution of optimal G associated with the correlation values is shown in Figure 3b. The 373 average G value was 3.0 (0.8) for HLTY, 2.4 (0.9) for SCHZ, 2.6 (0.8) for BPLR, and 2.9 (0.8) 374 for ADHD. These values are close to where the fitted bifurcation diagram starts to split into a 375 high and low sub-repertoire of attractors, or what Deco and colleagues refer to as the edge of 376 the second bifurcation or criticality (Deco et al., 2013). It is also where the total number of 377 attractors is typically the largest (see Supplemental Fig. 23 to 30). After regressing out the effect of age, sex, site (scanner), and average framewise displacement, there was a significant group 378 effect for the optimal G based on a 1-way Kruskal-Wallis test ($\chi^2 = 12.06$, p = 0.007). Post-hoc 379 380 paired comparisons show that G was significantly higher for HLTY than SCHZ after correction 381 for multiple comparisons.



382

Figure 3. Model parameter fitting: Boxplots showing the distribution across group participants for a) model fitness (Spearman's p), b) global coupling (*G*) value, and *c*) excitation to inhibition ratio (w_{EE}/w_{EI}). The subpanel titles show the Kruskal-Wallis test results for group comparisons after controlling for age, sex, site, and average FD. For comparisons with a significant overall group effect, post hoc ranksum tests were done between each group pair. Significant paired differences after Tukey correction (* p-val < 0.05) are marked by a line and star.

388

389 Optimal local configuration differs across groups

390 There is wide individual variability in terms of local configuration (w_{EE} , w_{EI}) within each group. For the HLTY group, the average w_{EE} is 1.83 (1.04), the average w_{EI} is 2.10 (0.63), and the 391 392 average w_{EE}/w_{EI} ratio is 1.02 (0.72). For the SCHZ group, the average w_{EE} is 1.88 (1.06), the 393 average w_{EI} is 1.76 (0.85), and the average w_{EE}/w_{EI} ratio is 1.30 (0.78). For the BPLR group, 394 the average w_{EE} is 1.61 (0.86), the average w_{EI} is 2.04 (0.83), and the average w_{EE}/w_{EI} ratio is 395 1.00 (0.74). For the ADHD group, the average w_{EE} is 1.71 (1.09), the average w_{EI} is 2.20 (0.51), 396 and the average w_{EE}/w_{EI} ratio is 0.89 (0.77). After regressing out the effect of age, sex, site 397 (scanner), and average framewise displacement, there was a significant group effect for the 398 w_{EE}/w_{EI} ratio. Post-hoc comparisons show that the ADHD group has a lower w_{EE}/w_{EI} ratio than 399 the SCHZ group.

400 The global energy gap is similar across groups

The distribution of the global E-max is shown in Figure 4a. The group average E-max was 0.09 (0.04) for HLTY, 0.09 (0.03) for SCHZ, 0.08 (0.03) for BPLR, and 0.09 (0.04) for ADHD. The distribution of global E-mean is shown in Supplemental Fig. 11a. The group average E-mean was 0.02 (0.01) for HLTY, 0.02 (0.01) for SCHZ, 0.02 (0.01) for BPLR, and 0.02 (0.01) for ADHD.

406 Network specific energy gap differs across groups

407 As an exploratory analysis, the DK atlas parcels were assigned into the 7 canonical networks

408 (Supplemental Fig. 10a). Significant group differences in terms of E-max and E-mean were

409 present for certain networks, with changes not necessarily in the same direction. E-max

410 comparison results for each network are shown in Figure 4, while those for E-mean are shown

411 in Supplemental Fig. 11.

- 412 Significant overall group differences in terms of E-max were found for: 1) the default mode
- 413 network (χ^2 = 8.78, p = 0.03), which did not show any significant paired differences after
- 414 correction; 2) the ventral attention network, which did not show any significant paired differences
- 415 after correction; 3) the somatomotor network (χ^2 = 15.16, p = 0.002), which had a significantly
- 416 higher value for the HLTY group than the SCHZ group, and 4) the visual network (χ^2 = 9.56, p =
- 417 0.02), which also had a significantly higher value for the HLTY group than the SCHZ group.

418 No significant group differences in terms of E-mean were found globally or for any resting state419 networks.



Figure 4. Energy gap metrics: Boxplots showing group E-max distributions when values are a) global, or restricted to each of the seven resting state networks, which are the b) default mode, c) frontal parietal, d) ventral attention, e) dorsal attention, f) limbic, g) somatomotor, and h) visual. The subpanel titles show the Kruskal-Wallis test results for group comparisons after controlling for age, sex, site, and average FD (Bolded ones have a significant overall group effect, p < 0.05). For comparisons with a significant overall group effect, post hoc ranksum tests were done between each group pair. Significant paired differences after Tukey correction (* p-val < 0.05) are marked by a line and star. There are main group effects for the default mode, ventral attention, somatomotor, and visual networks. Post hoc comparisons show larger E-max in the HLTY group than SCHZ group for the somatomotor and visual networks.

429 Effect of group specific SC on fitting results

430 To examine how our study findings are affected by the choice of group specific SC, we repeated

431 our analyses by fitting cross-attractor coordination matrices generated with the healthy group

432 SC for all the groups. Results showed similar trends in the group comparisons but with less

significant findings. For example, while global coupling (i.e., *G*) still had a significant group
effect, there was no longer a significant paired difference between HLTY and SCHZ

435 (Supplemental Fig. 12b). The preservation of some effects, but not others support the need for

436 group specific SC, which has a nonlinear effect on the model fitting. The results with HLTY SC

437 are shown in Supplemental Fig. 12, 13, and 14, which corresponds to Figure 3, Figure 4, and

438 Supplemental Fig. 11 respectively.

439 Examining correlation with clinical symptoms

440 Given the significant group differences in global coupling and w_{EE}/w_{EI} ratio, we sought to

441 determine if they were linked with specific symptoms while controlling for age, sex, site

442 (scanner), and average FD. For ADHD participants, we correlated with their hyperactivity and

443 attention total scores on the Adult ADHD Clinical Diagnostic Scale (ACDS). For BPLR

444 participants, we correlated with their total score on the Young's Mania Rating Scale (YMRS) and

their total score on the 17-items Hamilton Depression Rating Scale (HAMD-17). For SCHZ

446 participants, we correlated the parameters with their total scores on the Scale for the

447 Assessment of Positive Symptoms (SAPS) and the Scale for the Assessment of Negative

448 Symptoms (SANS). Through our exploratory analysis, we found that the parameter *G* was

inversely correlated with the total score for SAPS (Rho = -0.51, p = 0.009). No other correlations

450 were significant.

451 Discussion

452 Our results demonstrated that psychiatric disorders might be characterized by disturbances in 453 the brain's attractor landscape described by our BNM model. Specifically, significant group 454 effects were found for the global coupling parameter and the E/I ratio between local excitation 455 and inhibition parameters. All patient groups had an average global coupling value lower than 456 that of healthy controls, with the schizophrenia group being significantly different. The E/I ratio 457 was also different across the groups, with the schizophrenia group having a significantly larger 458 value than the ADHD group. Further insight was revealed by comparing measures of energy 459 gap associated with the optimal individual parameters. Specifically, there were group effects for 460 the maximum energy gap constrained to the default mode, ventral attention, somatomotor, and

461 visual networks.

462 Model fitting performance across psychiatric populations

The level of fit between the cross-attractor coordination matrix and the real RSFC was similaracross the groups. The level of correlation is comparable to reported values from previous BNM

studies (Gustavo Deco et al., 2013; Iravani et al., 2021; Park et al., 2021; Wang et al., 2019),
suggesting that the model fitting procedure works well for patient populations.

467 Global coupling differences across psychiatric populations

468 There was an overall group effect for the global coupling G, with the healthy group having the 469 highest value (G = 3.0), followed by the ADHD (G = 2.9) and bipolar (G = 2.6) groups, and with the schizophrenia group having the lowest (G = 2.4). Paired comparisons show that G was 470 471 significantly less for schizophrenia patients than healthy controls. The finding suggests that 472 psychopathology may be partly due to changes in the global coupling modulating connections 473 between all regions. A recent study argued that global coupling could be considered a factor for 474 operationalizing the balance between local and global influences on a brain region, such that a 475 decrease in global coupling could result in less (or more) global (or local) influence (Klein et al., 476 2021). Here we found that a decrease in global coupling for schizophrenia patients was 477 correlated with more positive symptoms. This is consistent with the disconnection hypothesis

- 478 (Friston et al., 2016) for schizophrenia, which attributes symptoms to a disruption of normal
- 479 large-scale brain network dynamics.

480 Differences in excitation-inhibition ratio across populations

Our results show that the E/I ratio for healthy individuals as captured by average w_{EE}/w_{EI} ratio was 1.02 (0.72). Our previous work had shown that a model with a fixed w_{EE}/w_{EI} ratio of 2 was still able to significantly predict the real RSFC (Zhang et al., 2022), which suggests that parts of the RSFC variance may be insensitive to changes in the local parameters. Nevertheless, the improvement in prediction based on our individually fitted parameters points to the importance of capturing individual variability.

- The attractor landscape for the optimal individual configurations was dominated by stable spirals
 or damped oscillations (see Supplemental Fig. 15 to 22). While the reasoning for this
 observation may require further theoretical exploration, one possibility is that the ongoing
 activity of the resting state brain is a summation of damped oscillatory processes (Evertz et al.,
 2022), which can arise from spontaneous transitions between the available stable spiral
 attractors.
- 493 Our results show that schizophrenia patients have the highest E/I ratio as captured by an 494 average w_{EE}/w_{EI} ratio of 1.30 (0.78), while ADHD patients have the lowest E/I ratio, with an 495 average w_{EE}/w_{EI} ratio of 0.89 (0.77). Abnormal E/I balance has been consistently linked to 496 psychiatric disorders (Sohal and Rubenstein, 2019). In the case of schizophrenia patients, an 497 abnormally high E/I ratio can be attributed to deficits in GABA mediated inhibitory 498 neurotransmission (Benes and Berretta, 2001; Marín, 2012). Likewise, abnormalities in E/I 499 balance, both during development and persisting into adulthood, have been attributed to ADHD 500 patients as a neurobiological cause (Mamiya et al., 2021). Indeed, an abnormally low E/I ratio 501 may be a result of deficits in glutamate based excitatory neurotransmission (Cheng et al., 2017; 502 Dramsdahl et al., 2011).

503 Implications of differences in energy gap metrics

In terms of maximum energy gaps, there was an overall group effect for the default mode
network. Relative to the healthy controls, all patient groups had lower maximum energy gaps,
with the schizophrenia group having the largest abnormality. This finding is supported by studies
showing default mode network abnormalities in schizophrenia patients (Bluhm et al., 2007;
Ongür et al., 2010; Whitfield-Gabrieli and Ford, 2012). Since maximum energy gaps are
essentially gaps between potential sub-repertoires of attractors, lower values may result in
excessive transitions within a specific network (Al Zoubi et al., 2019; da Cruz et al., 2020).

- E-max was also significantly lower for schizophrenia subjects relative to healthy controls in the
 somatomotor and visual networks. Similar to the case of the default mode network, a lower Emax for the somatomotor and visual networks may cause abnormal sensory activation (Li et al.,
 2017). Findings of abnormalities in the somatomotor network agrees with one recent study
 focusing on the RSFC of the same dataset, which showed a link between psychopathology
 across the disorders and functional connectivity of the somatomotor network (Kebets et al.,
- 517 2019). Likewise, another study examining task-based FC of the same dataset showed group
- 518 differences in regions part of the frontal parietal and somatomotor networks (Barron et al.,
- 519 2021).

520 Limitations and future directions

521 While the results of this study showed the promise of our modeling approach for understanding 522 psychiatric disorders, there are several limitations. First, the sample size is small, especially for 523 the patient groups. Therefore, replication of the results in a larger and independent sample is 524 necessary. Nevertheless, given that the purpose of this study was to demonstrate the utility of 525 the cross-attractor modeling approach in patient populations, the immediate goal was achieved. 526 Second, the quality of the DWI and rsfMRI scans was poor, especially relative to the quality of 527 HCP scans, which is likely why the model fitness values for the groups were slightly lower than 528 reported for the sample of HCP subjects in our previous study (Zhang et al., 2022). While our 529 model was able to draw helpful conclusions from the clinical grade scans, refinement of the 530 model will benefit from having higher quality scans for patient populations. Indeed, although 531 using a group-specific SC instead of one for all groups allowed for preserving group variations in 532 SC in the subsequent analysis, individual SC might better account for individual differences in 533 the structural connectome and should be used if possible. Third, while the parcellation we used 534 was a good compromise between computational run time and biological realism, higher 535 resolved parcellations will be explored in our future studies. Moreover, we plan to examine 536 dynamic FC in addition to regular FC for model fitting and will evaluate how the fitted model 537 parameters differ across groups. Dynamic FC has been shown to capture faster changing 538 temporal dynamics (Allen et al., 2014; Cabral et al., 2017; Zhang et al., 2023). Therefore, 539 examining changes in model parameters fitted to dynamic FC will likely reveal more insights into 540 the pathophysiology of the patient groups. Likewise, the resolution of our parameter search 541 space may limit the accuracy of the individual fitting results. Still, it was selected with 542 considerations for computation time in this proof-of-concept study. Finally, the model did not

allow the local parameters to vary between regions. The assumption of uniform configurations
across the brain had been made in most BNM studies due to computational limitations and the
risk of overfitting (Deco et al., 2013; Schirner et al., 2018). Future studies with optimization
approaches built for high dimensional fitting problems, such as the evolutionary optimization
(Maile et al., 2019; Miikkulainen, 2021), may help to overcome this challenge.

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