Dysfunctional cortical gradient topography in treatment resistant major depression

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1 Title page

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14 **Running title**

15 Brain gradients in treatment resistant major depression

16 Key words

- 17 Connectivity gradients, default mode network, functional connectivity, graph theory, treatment
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- 19

20 Abstract

21 Background

Treatment-Resistant Depression (TRD) refers to patients with major depressive disorder who do not remit after two or more antidepressant trials. TRD is common and highly debilitating, but its neurobiological basis remains poorly understood. Recent neuroimaging studies have revealed cortical connectivity gradients that dissociate primary sensorimotor areas from higher-order associative cortices. This fundamental topography determines cortical information flow and is affected by psychiatric disorders. We examined how TRD impacts gradient-based hierarchical cortical organization.

29 Methods

In this secondary study, we analyzed resting-state fMRI data from a mindfulness-based intervention enrolling 56 TRD patients and 28 healthy controls. Using gradient extraction tools, baseline measures of cortical gradient dispersion within and between functional brain networks were derived, compared across groups, and associated with graph theoretical measures of network topology. In patients, correlation analyses were used to associate measures of cortical gradient dispersion with clinical measures of anxiety, depression, and mindfulness at baseline and following the intervention.

37 **Results**

38 Cortical gradient dispersion was reduced within major intrinsic brain networks in TRD. Reduced 39 cortical gradient dispersion correlated with increased network degree assessed through graph 40 theory-based measures of network topology. Lower dispersion among Default Mode, Control, and 41 Limbic Network nodes related to baseline levels of trait anxiety, depression, and mindfulness. 42 Baseline Limbic Network dispersion in patients predicted trait anxiety scores 24 weeks after the43 intervention.

44 Conclusions

45 Our findings provide preliminary support for widespread alterations in cortical gradient 46 architecture in TRD, implicating a significant role for transmodal and limbic networks in 47 mediating depression, anxiety, and lower mindfulness in patients.

48 Introduction

49 Major depression is a common, debilitating disorder and among the leading causes of disability worldwide (1). Although several treatment options are available for depression, a significant 50 51 number of patients do not improve despite adequate antidepressant trials (2). Patients that, after 52 repeated treatments, do not reach acceptable levels of functioning and well-being, eventually 53 present with treatment-resistant depression (TRD), a condition associated with a significant social 54 and economic burden (2,3). TRD is often defined as the failure to remit after at least two 55 antidepressant trials of adequate dose and duration (2,3). A consensus characterization of TRD, 56 however, has yet to be achieved, partly due to a poor understanding of its neurobiological basis 57 and a lack of reliable diagnostic biomarkers (4,5).

Resting-state fMRI (rs-fMRI) is a neuroimaging modality commonly used to measure functional connectivity of brain networks in terms of correlated spontaneous activity among distant brain regions (6,7). This method has proven useful in revealing altered functional connectivity within and between large-scale brain networks in depression (5,8–12). Crucially, brain network dysfunctions in major depression primarily affect limbic and higher-order associative systems including the Default Mode Network (DMN) (10,13,14), Control Network (CoN) (5,8–12), and

Limbic Network (LiN) (5,8–12), with imbalances in these systems being linked to emotional
dysregulation and maladaptive self-referential processes, such as rumination (9,15,16).

Fundamental principles in behavioral neurology and recent neuroimaging studies provide 66 67 convergent support for a hierarchical cortical organization that separates primary sensorimotor 68 systems from transmodal associative areas (17-19). Cortical microstructure, connectivity, and 69 gene expression findings point to dominant sensorimotor-to-transmodal gradients organizing the 70 propagation of sensory inputs from primary areas into transmodal regions along multiple cortical 71 relays (17,18,20). This large-scale brain system organization anchors the DMN at one end of the 72 hierarchy with respect to primary sensorimotor areas, capturing a functional topography that 73 enables the transition from perception to more abstract cognitive functions (9,15,16). Several 74 neuropsychiatric disorders, including major depression (21), cognitive vulnerability to depression 75 (22), and autism (20) profoundly impact connectivity-based cortical gradient organization. Major 76 depression also disrupts global topography by producing focal alterations of cortical gradients 77 among primary sensory and transmodal regions involved in high-order cognitive processing (21). 78 Accordingly, we hypothesized that TRD would impact hierarchical brain network organization 79 and that functional deficits affecting the DMN, CoN, and LiN would predict baseline and future 80 symptoms of depression following group treatment with either mindfulness-based cognitive 81 therapy (MBCT) or a health enhancement program (HEP). We retrospectively applied recently 82 developed gradient decomposition techniques (23) to baseline rs-fMRI data from 56 TRD patients 83 subsequently randomized to MBCT or HEP, and from 28 healthy controls (HC). This approach 84 was leveraged to test the hypothesis that TRD, relative to HC, involves perturbation of hierarchical 85 gradients among "canonical" large-scale brain networks (24). To aid with interpreting gradient-86 based deficits in network topography, we further contextualize the results by using a

complementary measure of nodal dysfunction based on network topology, specifically nodal
degree (25).

89 Materials and Methods

90 Subjects

All participants or their surrogates provided written informed consent prior to participation in
accordance with the declaration of Helsinki. The University of California, San Francisco (UCSF)
Committee on Human Research approved the study.

94 An initial cohort of 59 TRD patients were enrolled in a randomized controlled behavioral 95 intervention study that included baseline and post-treatment fMRI sessions, and 30 HC were 96 recruited to provide normative baseline fMRI data. Participants were recruited from outpatient psychiatry and general medicine clinics at UCSF, the outpatient psychiatry clinic at Kaiser 97 98 Permanente in San Francisco, and through advertisements and clinical referrals (26,27). TRD 99 patient eligibility screening was completed in person. Eligible patients met Structured Clinical 100 Interview for DSM-IV-TR Axis I (SCID-I/P) (28) criteria for major depression and had a Hamilton 101 Depression Severity Rating Scale (HAMD-17) score of 14 or greater. Furthermore, to qualify as 102 TRD, patients had to be taking antidepressant medication with evidence of two or more adequate 103 trials prescribed during the current episode as assessed with the Antidepressant Treatment History 104 Form (29). Patients were excluded for the following: lifetime history of bipolar disorder, 105 schizophrenia, or any psychotic disorder; substance abuse or dependence within three months of 106 study onset; currently suicidal, dangerous to others, or self-injurious; undergoing psychotherapy 107 during the eight-week treatment portion of the study; or a score of <25 on the Mini Mental State 108 Examination (30).

The HC group was matched to the TRD group on age, gender, and handedness and had no history of a major Axis I psychiatric disorder, neurological illness, or current use of psychotropic medication. Participants were required to be at least 18 years old, fluent in English, have no MRI contraindications, and to have normal or corrected-to-normal vision.

113 For each participant, we additionally assessed depressive symptoms through the Quick Inventory

114 of Depression Symptomatology (QIDS-SR16) (31) and the Nolen-Hoeksema's Response Styles

115 Questionnaire (RSQ22) (32); levels of mindfulness were assessed with the Five Facet Mindfulness

116 Questionnaire (FFMQ) (33); and levels of state and trait anxiety were assessed through the State-

117 Trait Anxiety Inventory (STAI trait and state) (34). Study participants self-reported race and 118 ethnicity, sex, handedness, and years of education.

From the initially recruited sample, two HCs and three TRD patients had to be excluded based on excessive head movement in the scanner (see details below), resulting in the final analyzed sample of 56 TRD and 28 HC participants (Table 1).

122 Protocol

123 TRD patients were part of a randomized controlled trial comparing MBCT to a HEP as adjunctive 124 treatments to ongoing antidepressant medication (26,27) Briefly, MBCT involved guided 125 meditations (35); HEP involved activities to promote health (36). Patients were assessed with rs-126 fMRI at baseline and following intervention, while HC were assessed at baseline and did not 127 undergo treatment (26,27). Of the 56 TRD included in our study, 27 went through the MBCT and 128 29 through the HEP intervention. Additional details are available in the Supplement and in 129 previously published work. Only rs-fMRI data at baseline are analyzed in the present study.

130 Neuroimaging data acquisition and preprocessing

131 Neuroimaging data were acquired on a Siemens 3-T TIM TRIO scanner located at the UCSF 132 Neuroimaging Center. A high-resolution anatomical scan was acquired using a 3-D MP-RAGE 133 sequence, with scan time 5 min 17 s, flip angle 9 degrees, FOV = 220 mm, 160 slices per slab, 1.2 134 mm thick, no gap, TR = 2.30 s, TE = 2.94 ms. Functional scans were acquired using an EPI-135 BOLD sequence, TR = 2, TE = 30 ms, FoV = 220 MM, flip angle = 77 degrees, bandwidth = 2298 136 Hx/pixel, matrix = 64 x 64. 30 slices (3 mm thick, 1-mm gap). Scans were acquired in an axial-137 oblique plane, parallel to the anterior-posterior commissure (AC-PC) line. Participants were 138 instructed to rest with eyes open during the 5 min and 24 s EPI-BOLD functional sequence. 139 The software fMRIPrep (https://fmriprep.org/en/stable/) (37) was used for data preprocessing. 140 Anatomical MP-RAGE images were corrected for intensity non-uniformity, skull-stripped, and 141 segmented for cerebrospinal fluid, white matter, and gray matter. Volume-based spatial 142 normalization to MNI standard space was performed through nonlinear registration of the MP-143 RAGE with the T1-weighted MNI template brain (CBM152). The first five functional volumes 144 were removed to allow for scanner equilibration, resulting in a total number of 157 volumes for 145 the analyses. A mean reference volume and its skull-stripped version were generated, then co-

registered to the structural reference using affine registration. Head-motion parameters (transformation matrices and the six corresponding rotation and translation parameters) were estimated and used to compute framewise head displacement time series. Functional images were slice-time corrected, realigned, and normalized to MNI standard space applying the structural transformation matrix to the co-registered functional data. The resulting volumes with 2 mm³ isotropic resolution were spatially smoothed with a 6 mm radius Gaussian kernel and bandpass filtered in the 0.008–0.15 Hz frequency range. Nuisance parameters in the preprocessed data were

153 estimated for the cerebrospinal fluid and white matter. Additional nuisance parameters included 154 the three translational and three rotational motion parameters, the temporal derivatives of the 155 previous eight terms (white matter/cerebrospinal fluid/six motion time series), and the squares of 156 the previous 16 terms (38,39). Nuisance parameters were filtered for the same frequency range as 157 rs-fMRI data and regressed out from the filtered rs-fMRI data (38,39). The denoised data were 158 used in subsequent analyses. Subjects were included only if their mean framewise head 159 displacement in the scanner (38,39) was below the threshold of 0.55 mm recommended in previous 160 work (40). Global signal regressed rs-fMRI data were also generated using the time series extracted 161 from a whole-brain mask and used for control analyses.

162 Functional connectivity gradients

The Schaefer Atlas (41) was used to derive rs-fMRI activity time series for 400 cortical regions (Figure 1A-B). Pearson's correlation was applied to the regional activity time series to derive individual functional connectivity matrices (Figure 1Ca) and group-mean functional connectivity matrices for HC and TRD (Figure S1).

167 The diffusion embedding approach (17,18), as implemented by the toolbox BrainSpace

168 (https://brainspace.readthedocs.io/en/latest/pages/getting_started.html) (23), was then applied to 169 the HC group mean functional connectivity matrix to estimate connectivity gradients. Briefly, the 170 top 10% strongest functional connections were retained for each parcel, referred to hereafter as a 171 node, and cosine similarity was calculated between each pair of nodes to generate a dissimilarity 172 matrix (Figure 1Cb) (42,43). Diffusion map embedding was then applied to decompose the 173 functional connectome into primary components, referred to as gradients, with each gradient 174 explaining varying levels of variance in connectivity (Figure 1Cc). These gradients discriminate 175 across levels of the cortical hierarchy (i.e., sensory processing versus higher-order cognition),

whereas node-specific gradient values reflect the similarity in connectivity along this sensorytransmodal axis. An identical approach was used to derive connectivity gradients from the TRD group mean connectivity matrix and from the connectivity matrices of individual participants. The resulting gradient maps were subsequently aligned to the gradients derived at the group-level in HCs using iterative Procrustes rotation, therefore enabling comparisons across individual embedding solutions (20,23,44). Control analyses were performed with publicly available cortical gradients maps (17) (see Supplement).

183 Nodal dispersion

184 For each participant, we then derived a measure of within-network nodal dispersion. We plotted 185 the first three connectivity gradients – since these explained most of the underlying variance (see 186 elbow plot in Figure 1 Cc) – against each other to derive a three-dimensional manifold in which 187 we calculated the Euclidean distance between nodes belonging to the same intrinsic brain network 188 (44) (Figure 1Cd). Nodal dispersion was derived for each node belonging to a specific intrinsic 189 brain network and averaged across nodes within intrinsic brain networks, yielding a final estimate 190 of within-network nodal dispersion for each participant. We performed several control analyses to 191 assess the impact of methodological parameters on our analyses (see Supplement). Further, we 192 derived a measure of between-network nodal dispersion calculated as the Euclidean distance 193 between network centroids (i.e., the arithmetic mean in gradient space of all nodes belonging to 194 the same network).

195 Nodal degree

In parallel to the connectivity gradient approach, we also derived a traditional measure of withinnetwork nodal degree for all participants (25) by using the publicly available Brain Connectivity
Toolbox (https://sites.google.com/site/bctnet/).

199 Nodal degree is a widely used measure of network topology commonly derived using graph-200 theoretical approaches (25). Briefly, individual connectivity matrices were thresholded for correlation values below 0.35 (retaining a median of 26% of connections) and binarized (Figure 201 202 1Ce). To control for threshold choice, measures of nodal degree were derived also for connectivity 203 thresholds of 0.45 and 0.25 (respectively retaining 16% and 38% of connections). At any threshold, 204 patients and controls did not significantly differ in respect to the density of retained connections. 205 Weighted connectivity matrices were used to count the number of surviving edges between a 206 specific node within a network and all other nodes within the same network (Figure 1Cf). The sum 207 of surviving edges for a node was then divided by the total amount of edges within the network. 208 Nodal degree measures were derived for each single node in a network and averaged across nodes 209 in the same network. This procedure resulted in a measure of within-network nodal degree 210 reflecting the level of integration between nodes belonging to the same network.

211 Statistical analyses

In house MATLAB R2021a (<u>https://www.mathworks.com/products/matlab.html</u>) and R 4.1.1
 (<u>https://www.r-project.org/</u>) scripts were used to perform the statistical analyses. See
 Supplementary Methods for more details.

215 **Results**

216 Cortical connectivity gradients in HCs and TRD

We applied a diffusion gradient approach separately on rs-fMRI-based connectivity data from HCs and TRD to derive cortical connectivity gradients reflecting processing hierarchies spanning sensory and transmodal areas (Figure 2 and Figure S2A). The first three principal gradients derived from rs-fMRI data of HCs, explained 34.9% of the variance in functional connectivity (elbow plot in Figure 1 Cc). Gradient 1 anchored sensorimotor areas at its positive extreme, while

222 regions belonging to the DMN were located at the opposite, negative extreme (Figure 2A-B). 223 Sensorimotor and DMN areas occupied the negative extreme on Gradient 2, while visual-sensory 224 areas populated the positive end of this gradient (Figure 2A-B). Notably, these first two 225 connectivity gradients overlap with previously reported gradients in functional connectivity, 226 structural connectivity, myelin density, and genetic expression (17,18), which consistently 227 separate sensory processing regions from transmodal areas of the DMN. Gradient 3 showed a more 228 complex pattern, segregating regions of the Dorsal Attention Network from regions belonging to 229 the Salience Network, potentially reflecting a higher-order, attention-related gradient separating 230 regions attending to externally presented cues (45) from regions devoted to processing visceral 231 and interoceptive information (46,47). The normative gradients identified in our HCs sample 232 showed strong to moderate correspondence to gradients described in prior foundational work 233 (Figure 2C) (17). Similar fundamental properties of hierarchical brain organization were found in 234 patients with TRD after aligning the principal connectivity gradients of patients to those of HCs 235 (Figure 2D-E), in support of the notion that cortical gradients reflect fundamental properties of 236 brain topography in both health and disease (17,18,20,21). Gradients 4-6 explained a lower amount 237 of variance and showed less discernible patterns of regional variation (Figure S2).

238 Within-network nodal dispersion

Node-level gradient comparisons (p<0.05, uncorrected) revealed increased gradient scores in TRD patients in sensory and early transmodal regions, such as the ventromedial occipital and posterior inferior temporal cortices, together with decreased gradient scores in transmodal areas including the precuneus, the medial prefrontal, and cingulate cortices (Figure 3A). We then derived a measure of within-network nodal dispersion (Figure 1Cd), reflecting the level of connectedness of nodes belonging to the same intrinsic brain network (44). A two-way analysis of variance revealed

245 a main effect of network, F(6,567)=15.2, p<0.0005, and an main effect of group, F(2,567)=18.0, 246 p < 0.0005. Pair-wise comparisons revealed that all networks, except for the Salience and 247 Sensorimotor Networks, showed reduced within-network nodal dispersion in TRD compared to 248 HCs (Figure 3B; p<0.05, FDR corrected for multiple comparisons), suggesting overall higher 249 within-network connectedness. We performed control analyses to assess the impact of head 250 movement on within-network dispersion and assessed the impact of methodological parameters 251 including (i) global signal regression; (ii) atlas parcellation; (iii) gradient decomposition through 252 Laplacian embedding; (iv) angular normalization to generate the dissimilarity matrices; (v) adding 253 Gradients 4-6 when computing within-network nodal dispersion; or (vi) using publicly available 254 gradient maps to derive individual gradients (see Supplementary Results, Figures S2-S4, and 255 Tables S1-S2).

We analyzed whether TRD also affected cortical hierarchies between networks in addition to within-network gradient organization. We derived a measure of between-network nodal dispersion that revealed reduced nodal dispersion in TRD between the Sensorimotor and the DMN, between the Salience and the DMN, and between the CoN and Dorsal Attention Network, although none of these findings survived correction for multiple comparisons (Figure 4; p<0.05, uncorrected).

261 *Within-network nodal degree*

Comprehensively, the previous findings suggested that in TRD, nodes belonging to the same network are more integrated to each other. To confirm this hypothesis, we derived a complementary measure of nodal integration based on graph theoretical approaches, namely within-network nodal degree. A two-way analysis of variance revealed a main effect of network, F(6,567)=187.9, p<0.0005, and a weaker main effect of group, F(2,567)=3.1, p<0.05. Pair-wise comparisons revealed that there were no significant between-group differences in within-network

degree that survived multiple comparisons. However, DMN and Sensorimotor Network nodal
 degree was significantly lower in TRD compared to HCs (Figure 3C; p<0.05, uncorrected).

270 When relating within-network nodal dispersion to within-network nodal degree, we consistently 271 found a significant negative association between both measures, particularly in TRD and to a lesser 272 extent in HCs (Figure 3D; p<0.05, FDR corrected for multiple comparisons if not reported 273 otherwise, Pearson's correlation coefficients and associated Fisher r-to-z tests for independent 274 samples comparing the strength of correlations across groups reported in the plots). Notably, these 275 findings were robust across distinct thresholds applied to generate the weighted connectivity 276 matrices used to estimate nodal degree (Figure S3). In summary, these findings support the notion 277 that decreased within-nodal dispersion, at least in patients, reflects within-network hyper-278 connectedness. This negative association between nodal measures was prominent in TRD but not 279 as prominent in HCs, suggesting a more complex relationship between cortical topology and 280 topography in the healthy human brain.

281 Within-network nodal dispersion and baseline symptoms of depression

282 Given the recurrent association of the DMN, CoN and LiN with clinical symptoms of depression 283 (9,15,16), we first investigated the association of within-network nodal dispersion and degree in 284 these systems with clinical depression severity in patients as assessed with the HDRS-17. Within-285 network nodal dispersion of any network did not significantly correlate with HDRS-17, although 286 within-network nodal degree of the CoN and LiN positively correlated with HDRS scores (Table 287 S3). Subsequently, we assessed the relationship between within-network nodal dispersion of the 288 DMN, CoN, and LiN and clinical measures of increased anxiety, depressed mood, and reduced 289 mindfulness (16,26,27). To assess whether associations between nodal dispersion and clinical 290 measures were specific to higher-order cognitive and emotional systems, we also report

291 correlations between clinical measures and nodal dispersion of the Visual Network. In line with 292 previous work, our patient sample showed increased levels of trait anxiety as measured through 293 the STAI questionnaire (Figure 5A; p<0.0005), increased levels of depressive symptoms using the 294 RSQ22 (Figure 5B; p<0.0005), and decreased levels of mindfulness (26,27) as measured through 295 the FFMQ (Figure 5C; p<0.0005). Within-network nodal dispersion of the DMN, CoN and LiN 296 negatively correlated with trait anxiety and depression while it positively correlated with 297 mindfulness in patients but not in HCs (Figure 5D-E). Dispersion of the Visual Network did not 298 significantly correlate with any clinical measure. Consistent with the previously described negative 299 relationship between nodal dispersion and nodal degree, within-network nodal degree of the DMN, 300 CoN, and LiN positively correlated with trait anxiety and depression while it negatively correlated 301 with mindfulness in patients but not in HCs (Figure 5G-I).

302 Within-network nodal dispersion and change scores in clinial symptoms

303 In line with our previous studies (26,27), patients on the MBCT arm showed greater HDRS-17 304 reductions relative to the control intervention, although in our study the effect only reached 305 trending significance (F(1,107)=3.07; p=0.08; Figure S5) (26,27), likely due to the smaller patient 306 subset in this sample following head-movement control. We then assessed whether within-network 307 nodal dispersion at baseline could predict STAI trait, FFMQ, and RSQ22 change scores, since 308 these clinical questionnaires correlated with baseline nodal dispersion. A repeated measurement 309 ANOVA revealed a main effect of time (but no effect of group), with improved STAI trait, FFMQ, 310 and RSQ22 scores after 8 and 24 weeks in both the HEP and MBCT arms (Figure S6 and Table 311 S4). Multiple regression analyses revealed that LiN nodal dispersion at baseline predicted STAI 312 trait change scores 24 weeks after the intervention (Figure 6; $\beta(1,46)=0.63$; p=0.01).

313 Discussion

314 Functional connectivity of the human cortex can be decomposed in primary gradients that anchor, 315 on one end, primary sensory and motor areas and on the other end, transmodal regions overlapping 316 with the DMN. This study explored how TRD impacts this fundamental topography of hierarchical 317 cortical organization. We capitalized on rs-fMRI data acquired in TRD patients and HCs and 318 applied recently developed gradient extraction tools to assess gradient imbalances within major 319 intrinsic brain networks. Although the global hierarchical architecture was similar across the two 320 groups, we found that brain regions belonging to the same network are located more closely to 321 each other in topographical gradient space in TRD relative to HCs. Reduced within-network nodal 322 dispersion correlated with higher levels of nodal degree derived through graph theory-based 323 topology measures, overall suggesting higher within-network functional integration in TRD. In 324 patients, decreased nodal dispersion of higher-order cognitive and limbic networks correlated with 325 depression, anxiety, and reduced mindfulness at baseline. Change in anxiety scores following a 326 mindfulness-based intervention were predicted by limbic nodal dispersion. Overall, these findings 327 suggest deleterious cortical network topography and topology in TRD and underscore the role of 328 higher-order and limbic networks in mediating core symptoms of depression.

329 Increased within-network integration in TRD

The pervasive correlation between nodal degree and nodal dispersion in our patient sample suggests that TRD impacts cortical hierarchies by driving hyper-integration within several brain networks (48). Other neuropsychiatric conditions have been shown to impact cortical connectivity gradients. Autism spectrum disorder has been shown to alter brain topography by showing atypical connectivity transitions between sensory and higher-order DMN regions (20). Our findings align with previous reports of altered cortical gradient organization in individuals with cognitive

vulnerability to depression (22) and in a larger sample of patients with major depression (21).
Individuals with cognitive vulnerability to depression have been shown to display reduced gradient
scores in the left insula, which correlated with lower attentional scores in patients, suggesting that
gradient reorganization may precede the onset of depression (22). A recent study involving a large
sample of patients showed that major depressive disorder exhibits abnormal global topography of
the principal sensory-to-transmodal gradient (21). These focal alterations of gradient scores mostly
affected transmodal areas implicated in higher-order cognition overlapping with the DMN (21).

343 Brain network hyper-integration mediates symptoms of depression

344 Despite numerous efforts to map brain network dysfunctions in depression, important 345 inconsistencies exist regarding the location and directionality of connectivity changes, with both 346 hyper- (15), and hypo-connectivity findings reported in the literature (49). Disease duration, 347 perseverance of symptoms, and heterogenous subtypes of depression (8,9) may account for 348 important sources of variability, as do head movement in the scanner, and differing data acquisition 349 protocols and preprocessing pipelines (38-40). Although our findings contrast with reports of 350 decreased connectivity in attentional networks (10), they align well with previous reports of DMN 351 hyper-connectivity found in patients with depression (9,15). Hyper-connectivity among DMN 352 regions in depression is consistent with our interpretation of reduced nodal dispersion reflecting 353 within-network hyper-integration. Prior studies in both HCs and depression have associated DMN 354 hyper-synchrony with self-referential processes affected in depression, including reduced 355 mindfulness and social-emotional dysfunctions (15,16,50), suggesting a deleterious nature of 356 DMN hyper-integration in TRD.

357 *Limitations and future directions*

358 Three limitations need to be considered when interpreting our findings as potential evidence of 359 within-network hyper-integration in TRD. First, methods used to extract connectivity gradients 360 may need further refinements when addressing gradient changes at the individual level and across 361 clinical populations. Although findings of reduced within-network nodal dispersion were 362 consistently found when using global signal regression or medium to high parcellated atlases, the 363 method chosen to derive cortical connectivity gradients greatly influenced the analyses. Second, 364 nodal dispersion in TRD did not correlate with HDRS-17 nor, except for the LiN, predicted clinical 365 improvement following either MBCT or HEP. Gradient approaches have been mostly applied to 366 study fundamental aspects of brain functioning by leveraging large samples. Our analyses may have suffered from sample size issues affecting both patients and controls. Given the recent 367 368 discovery of distinct biotypes in major depressive disorder (8,9), longitudinal studies involving 369 larger patient samples are needed to validate our findings. Future studies should confirm whether 370 decreased nodal dispersion is a generalizable marker of network hyper-integration in TRD, and 371 whether nodal dispersion can be normalized following tailored behavioral and pharmacological 372 interventions.

373

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378 **Disclosures**

- 379 The authors report no biomedical financial interests or potential conflicts of interest.
- 380 Participants' data is not publicly shared due to privacy concerns but is available from the senior
- 381 author after reasonable request. Code is available on <u>https://github.com/lollopasquini</u>.

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519 Tables and Legends

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521 Figure 1. Analytic pipeline. (A) 400 nodes from the Schaefer Atlas, each overlapping with a 522 specific intrinsic brain network (IBN) (B), were used to derive functional connectivity matrices 523 using rs-fMRI data of HCs and patients with TRD. (Ca). Individual connectivity matrices (Si) 524 went through two distinct processing pipelines. To derive cortical connectivity gradients (upper 525 stream), individual connectivity matrices were transformed to affinity matrices using cosine 526 similarity (Cb) and Laplacian decomposition was used to derive three primary connectivity 527 gradients, which combined explained 34.9% of the variance in functional connectivity (Cc). The position of an individual node belonging to a specific intrinsic brain network (e.g. Network x) was 528 529 used to derive a topographical measure of nodal dispersion (Cd), reflecting the average Euclidean 530 distance in gradient space between a node and all other nodes belonging to the same network. 531 Individual connectivity matrices were also leveraged to derive topological measures of nodal 532 degree (lower stream). Connectivity matrices were weighted by binarizing at a connectivity 533 threshold of 0.35 (Ce). For each node within a network, we assessed the level of degree by counting 534 the edges of this node to all other nodes within a network and dividing by the total amount of edges 535 (Cf). CoN = Control Network; DAN = Dorsal Attention Network; DMN = Default Mode Network; 536 HC = healthy controls; LiN = Limbic Network; SaN = Salience Network; SMN = Sensorimotor 537 network; TRD = patients with treatment resistant depression; ViN = Visual Network. 538

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539 Figure 2. Cortical connectivity gradients. (A) Cortical connectivity gradients of HCs projected 540 into cortical surface. The three-dimensional scatterplot below shows how individual nodes 541 distribute along the first three gradients. Colors reflect the loadings of nodes on individual 542 gradients. For example, the sensorimotor cortex appears purple and regions overlapping with the 543 DMN appear blue, reflecting that these systems respectively anchor the extremes of Gradient 1. 544 **(B)** Scatterplots reflecting how nodes belonging to distinct intrinsic brain networks align along 545 cortical gradients in HC. (C) Spatial correlation between maps of Gradients 1-3 in HCs and maps 546 of Gradients 1-3 using publicly available maps of canonical cortical gradients. (D) Cortical 547 connectivity gradients of patients with TRD aligned to the gradients of HCs following Procrustes 548 rotation. (E) Scatterplots reflecting how nodes belonging to distinct intrinsic brain networks align 549 along cortical gradients in patients with TRD. CoN = Control Network; DAN = Dorsal Attention 550 Network; DMN = Default Mode Network; HC = healthy controls; LiN = Limbic Network; SaN = 551 Salience Network; SMN = Sensorimotor network; TRD = patients with treatment resistant depression; ViN = Visual Network. *p<0.005 552 553

554 Figure 3. Nodal dispersion and nodal degree. (A) Node-wise statistical comparisons between 555 HCs and TRD, with increases/decreases in TRD shown in cold/warm colors (p<0.05 uncorrected). 556 (B) Violinplots reflecting topographical differences in within-network nodal dispersion between 557 patients with TRD (red) and HCs (blue). (C) Violinplots reflecting topological differences in 558 within-network nodal degree between patients with TRD and HCs. (D) Scatterplots reflecting the 559 association between within-network nodal degree and within-network nodal dispersion separately 560 for patients with TRD and HCs. Pearson's correlation coefficients are reported below the 561 scatterplots for each group separately, together with associated Fisher r-to-z tests for independent 562 samples comparing the strength of the correlations across groups. CoN = Control Network; DAN 563 = Dorsal Attention Network; DMN = Default Mode Network; HC = healthy controls; LiN = Limbic Network; SaN = Salience Network; SMN = Sensorimotor network; TRD = patients with 564 565 treatment resistant depression; ViN = Visual Network. p<0.05 FDR corrected, p<0.05566 uncorrected

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568 Figure 4. Between-network nodal dispersion. Between-network nodal distance in (A) HCs and 569 (B) patients with TRD. (C) Significant reductions in between-network nodal dispersion were found 570 in patients with TRD, affecting the Sensorimotor and DMN, the Salience and DMN, and the 571 Control and Dorsal Attention Network. None of these findings survived FDR correction for 572 multiple comparisons. *p<0.05 uncorrected. CoN = Control Network; DAN = Dorsal Attention Network; DMN = Default Mode Network; HC = healthy controls; LiN = Limbic Network; SaN = 573 574 Salience Network; SMN = Sensorimotor network; TRD = patients with treatment resistant depression; ViN = Visual Network. 575

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576 Figure 5. Nodal dispersion correlates with symptoms of depression. (A) Levels of trait anxiety 577 (STAI trait total scores) and (B) depression (RSQ22) are significantly higher in patients with TRD 578 (red violinplots) when compared to HCs (blue violinplots), while levels of (C) mindfulness (FFMQ 579 total scores) are significantly lower in patients when compared to HCs. (D) Within-network nodal 580 dispersion of the DMN, CoN, and LiN correlate negatively with trait anxiety and depression and 581 positively with mindfulness in TRD patients but not in HCs (E). No significant correlations were 582 found for dispersion of the ViN, suggesting a specific association of clinical measures to higher-583 order cognitive and limbic networks. Matrix in (F) reflects Fisher r-to-z tests for independent 584 samples comparing the strength of the correlations across groups. (G) Conversely, within-network 585 nodal degree of the DMN, CoN, and LiN correlate positively with trait anxiety and depression and 586 negatively with mindfulness in TRD patients but not in HCs (H). Matrix in (I) reflects Fisher r-to-587 z tests for independent samples comparing the strength of the correlations across groups. CoN =588 Control Network; DMN = Default Mode Network; HC = healthy controls; LiN = Limbic Network; 589 TRD = patients with treatment resistant depression. ***p<0.0005, **p<0.005, *p<0.05, *p<0.05, *p<0.05590



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	НС	TRD	Т	р
	(n=28)	(n=56)		
Age in years	45.4 (9.3)	42.9 (9.9)	1.14	0.260
Female	20	44	0.21&	0.651
Handedness ambidextrous/left/right	1/2/25	2/5/49	0.08&	0.962
Education in years	16.9 (2.5)	16.1 (2.1)	1.57	0.123
Hispanic-Latino	4	4	0.40*	0.529
Asian/Black/Other/White	1/2/0/25	6/4/1/45	12.38&	< 0.01
Mean FD in mm	0.23 (0.10)	0.25 (0.11)	-1.01	0.316
Mean spike occurrence:	7.5 (14.4)	13.4 (18.9)	1.45	0.149
number of volumes with FD>0.5mm				
Age of MDE onset in years	-	20.8 (10.1)	-	-
Number of MDEs	-	3.6 (2.5)	-	-
Current onset duration in months	-	85.6 (110.5)	-	-
Number of trials	-	2.9 (1.3)	-	-
Concurrent medication at baseline				
Antidepressants	-	56 (100.0%)	-	-
Mood stabilizers	-	8 (14.3%)	-	-
Sedatives	-	19 (33.9%)		
Stimulants	-	13 (23.2%)	-	-
Antipsychotics	-	1 (20.0%)	-	-
Other	-	1 (1.8%)	-	-

Clinical questionnaires				
HDRS-17	1.6 (1.3)	17.4 (2.7)	-35.5	< 0.001
QIDS-SR16	2.6 (1.4)	14.9 (3.7)	-21.6	< 0.001
STAI trait	27.6 (5.8)	60.1 (8.5)	-19.6	< 0.001
STAI state	26.5 (7.8)	56.3 (9.8)	-14.5	< 0.001
RSQ22	31.8 (9.0)	59.7 (11.0)	-12.0	<0.001
FFMQ	157.2	106.1	12.0	< 0.001

600 Table 1. Participants' demographic and clinical characteristics at baseline. Mean and standard deviation in brackets. [&]Chi-square test. FD = framewise head displacement; FFMQ = Five Facet 601 602 Mindfulness Questionnaire; HDRS-17 = Hamilton Depression Rating Scale; HC = healthy control; 603 MAOI = monoamine oxidase inhibitors; MDE = major depressive episode; QIDS-SR16 = Quick Inventory of Depression Symptomatology; RSQ22 = Nolen-Hoeksema's Response Styles 604 Questionnaire; SNRI = selective and norepinephrine reuptake inhibitors; SRI = selective reuptake 605 inhibitors; SSRI = selective serotonin reuptake inhibitors; STAI = State-Trait Anxiety Inventory; 606 607 TCA = tricyclic antidepressants; TRD = treatment resistant major depression. 608

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